

## **SEBI Mandated Disclosures & Regulation Policy**

You can download SEBI's investment advisors regulation [Here](#)

### **Registration Details -**

Rakesh Rajkumar Mandge (SEBI registration No: INA000004690) Valid Till MAY 12, 2021

### **General responsibility**

PUKHRAJ'S CAPITAL acts in a fiduciary capacity towards its clients and is bound to disclose all conflicts of interests as and when they arise. PUKHRAJ'S CAPITAL does not receive any consideration by way of remuneration or compensation or in any other form from any person other than the client being advised, in respect of the underlying products or securities for which advice is provided.

### **Know Your Client –**

PUKHRAJ'S CAPITAL follows Know Your Client procedure as specified by the SEBI Board from time to time. We must collect clients risk profile & risk assessment of all clients from time to time. Being an online subscription services provider, we take only basic information as the decision is taken by the client. And PUKHRAJ'S CAPITAL services merely act as a research input for the client.

### **Disclosures & Conflict Of Interest -**

PUKHRAJ'S CAPITAL invests in securities markets & has interest in all securities it recommends. PUKHRAJ'S CAPITAL discloses to the client its holding or position, if any, in the financial products or securities which are subject matter of advice. PUKHRAJ'S CAPITAL ensures that in case of any conflict of interest of the investment advisory activities with other activities, such conflict of interest shall be disclosed to the client. PUKHRAJ'S CAPITAL does not enter into transactions on its own account which is contrary to its advice given to clients for a period of fifteen days from the day of such advice. Provided that during the period of such fifteen days, if we are of the opinion that the situation has changed, then it may enter into such a transaction on its own account after giving such revised assessment to the client at least 24 hours in advance of entering into such transaction.